

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor(s): Ben Wei Chen)	
)	Art Unit: 2111
Application No.: 10/762,934)	
)	Examiner: Paul R. Myers
Filed: 01/21/2004)	
)	
Title "Method And System For Providing A Modular)	
Server On USB Flash Storage")	
)	
)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop RCE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and non-U.S. patent, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

- ☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
 -- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
 -- OR --
 - ☐ (3) It is being filed before the mail date of the first Office Action on the merits.
 -- OR --
 - ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114


- ☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
- B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180 to the Credit Card referenced herewith. Please charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. SUP-036).

Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

Dated: June 6, 2007

By: _____


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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number		10/762,934					
				Filing Date		01/21/2004					
				First Named Inventor:		Ben Wei Chen					
				Examiner name: Paul R. Myers		GROUP: 2111					
Sheet		1		of		1		Attorney Docket Number		SUP-036	
U.S. PATENT DOCUMENTS											
Examiner Initials*	Cited No. ¹	Document Number Number - Kind Code ^{2 (if known)}		Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines Where Relevant Passages or Figures Appear			
	A01	US-5,751,714		05/12/1998		Albano et al.					
	A02	US-5,889,970		03/30/1999		Horan et al.					
	A03	US-5,987,536		11/16/1999		Johnson et al.					
	A04	US-6,115,755		09/05/2000		Krishan					
	A05	US-6,256,732		07/03/2001		Cromer et al.					
	A06	US-6,282,643		08/28/2001		Cromer et al.					
	A07	US-6,408,333		06/18/2002		St. Clair					
	A08	US-6,757,730		06/29/2004		Lee et al.					
	A09	US-20030108018		06/12/2003		Dujardin et al.					
FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No. ¹	Foreign Patent Document Cntry Code ³ Number ⁴ Kind Code ^{5 (if known)}			Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines Where Relevant Passages or Figures Appear		T ⁶
	A10	EP	1113646	A1	07/04/2001		Dujardin et al.				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)											
EXAMINER SIGNATURE:						DATE CONSIDERED:					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2. *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.